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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets  ]
5. Oath or Declaration [ Total Pages  ]
  - a.  Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  - b.
6.  Application Data Sheet. See 37 CFR 1.76

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation    Divisional    Continuation-in-part (CIP)

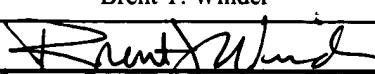
of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below
Name	Brent T. Winder			
Address	Jones Waldo Holbrook & McDonough 170 South Main Street, Suite 1500			
City	Salt Lake City	State	Utah	Zip Code 84101-1644
Country	USA	Telephone	801 521-3200	Fax 801 328-0537
Name (Print/Type)	Brent T. Winder		Registration No. (Attorney/Agent)	46,250
Signature			Date	11/19/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

31281 U.S.PTO  
10/717294

111903

16569  
111903  
U.S. PTO16569  
U.S. PTO

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 790)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Kenneth B. Tingey
Examiner Name	
Group Art Unit	
Attorney Docket No.	12710.0024.P

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-1723  
Deposit Account Name Jones, Waldo, Holbrook & McDonough

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	228 945 Extension for reply within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,240	241 620 Petition to revive - unintentional	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	240	126 240 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	40
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 40)

## 2. EXTRA CLAIM FEES

Total Claims	<input type="checkbox"/>	-20** =	<input type="checkbox"/>	X	<input type="checkbox"/>	=	0
Independent Claims	<input type="checkbox"/>	- 3** =	<input type="checkbox"/>	X	<input type="checkbox"/>	=	0
Multiple Dependent	<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	=	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Brent T. Winder	Registration No. (Attorney/Agent)	46,250	Telephone	801 521-3200
Signature				Date	11/19/03

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**JONES  
WALDO  
HOLBROOK &  
MCDONOUGH PC**

PATENT APPLICATION  
Docket No. 12710.0024.P  
EXPRESS MAIL LABEL: EV 333 424 938 US

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

MAIL STOP PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir/Madam:

Transmitted herewith for filing is the patent application of Kenneth B. Tingey for A SYSTEM ARCHITECTURE AND METHOD FOR ENTERING AND ACCESSING ENTITY DATA IN EVENTS ACCOUNTING comprising 41 pages of specification and claims, and a Combined Declaration, Power of Attorney and Petition with signatures.

Priority of Serial No. 60/427,889 filed November 20, 2002, in the United States Patent & Trademark Office and Serial No. 60/428,015 filed November 21, 2002, in the United States Patent & Trademark Office is hereby claimed.

Enclosed also are:

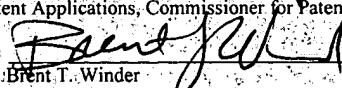
- 27 sheet(s) of drawings (informal)
- A Declaration, Power of Attorney and Petition
- An Assignment
- Fee Transmittal Sheet for FY 2001 and copy
- Postcard
- A Certificate of Mailing by "Express Mail" certifying a filing date of November 19, 2003, by use of Express Mail Label No. EV 333 424 938 US.

EXPRESS MAIL LABEL NO.: EV 333 424 938 US

**CERTIFICATE OF DEPOSIT**

DATE OF DEPOSIT November 19, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, under 37 C.F.R. § 1.10, on the date indicated above and is addressed to: Mail Stop Patent Applications, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

  
Brent T. Winder

Information Disclosure Statement under 37 C.F.R. § 1.97, PTO Form-1449 with listed referenced attached (if indicated as being attached by the Information Disclosure Statement).

The filing fee has been calculated as shown below.

			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	Fee	RATE	Fee
BASIC FEE				\$375		\$750
TOT. CLAIMS	- 20 =		X 9 =	\$	X 18 =	\$
IND. CLAIMS	- 3 =		X 42 =	\$	X 84 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED						
ASSIGNMENT FILING FEE			\$40	\$40	\$40	
			TOTAL		TOTAL	\$790

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1723 and any additional filing fees required under 37 C.F.R. § 1.16 and any patent application processing fees under 37 C.F.R. § 1.17.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 19<sup>th</sup> day of November, 2003.

Respectfully submitted,

  
 Brent T. Winder  
 Attorney for Applicant  
 Registration No. 46,250

JONES, WALDO, HOLBROOK & McDONOUGH, PC  
 170 South Main Street, Suite 1500  
 Salt Lake City, Utah 84101-1644  
 Telephone: (801) 521-3200

BTW/jsm

Docket: 12710.0024.P  
 Enclosures

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

“Express Mail” Mailing Label No. \* EV 333 424 938 US

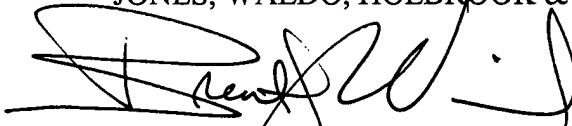
Date of Deposit: \* November 19, 2003

I hereby certify that the enclosed new patent application entitled “A SYSTEM ARCHITECTURE AND METHOD FOR ENTERING AND ACCESSING ENTITY DATA IN EVENTS ACCOUNTING”, along with the accompanying documents – Non-Provisional Application for Patent Cover Sheet; Fee Transmittal for FY 2001 and copy; Declaration, Power of Attorney and Petition; 27 sheets of informal drawings; Provisional Application Cover Sheet; Information Disclosure Statement and related prior art; a return postcard, and a transmittal letter to the Patent Office, in the name of Kenneth B. Tingey – is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 C.F.R. Section 1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner of Patents, Box Patent Applications, Washington, D.C. 20231.

Respectfully submitted this 19<sup>th</sup> day of November, 2003.

Respectfully submitted,

JONES, WALDO, HOLBROOK & McDONOUGH



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Attorney Docket No. 12710.0024.P